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PATENT APPLICATION  
CH8048  
LeA 36,561

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICATION OF	)	
	)	
SIR JOHN MEURIG THOMAS ET AL	)	GROUP NO: 1625
	)	
SERIAL NUMBER: 10/771,651	)	
	)	
FILED: FEBRUARY 3, 2004	)	
	)	
TITLE: PROCESS FOR REDUCING	)	
KETOCRBOXYLIC ESTERS	)	

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97(b)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This disclosure statement under 37 CFR 1.97 & 1.98 is submitted before the first Office Action in order that the Patent and Trademark Office may consider the relevancy of certain information to the invention described and claimed in the subject application, and in compliance with the regulations concerning information disclosure statements. Enclosed are copies of the following:

- a) the Search Report received in the corresponding application pending in the European Patent Office and an English language translation thereof; and

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an enveloped addressed to: Commissioner for Patents, Alexandria, VA 22313-1450

7/15/04

Date

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Name of applicant, assignee or Registered Representative

Signature

July 15, 2004

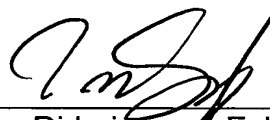
Date

- b) the documents cited by the European Patent Office in the Search Report (except for document(s) previously submitted) listed on the attached Form PTO-1449.

This Information Disclosure Statement should not be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, that any document mentioned herein constitutes prior art, or that the documents listed severally or in any combination with one another or with any other information, are believed to render any claim in the subject application prima facie unpatentable.

Respectfully submitted,

By



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Form PTO-1449 Rev. 7-01	U.S. Department of Commerce Patent and Trademark Office LIST OF PRIOR ART CITED BY APPLICANT (See Several Sheets If Necessary)	Atty. Docket No. CH8048/LeA 36,561	Serial No. 10/771,651
		Applicant Sir John Meurig Thomas et al	
		Filing Date February 3, 2004	Group 1625

## U.S. PATENT DOCUMENTS

EXAMINER Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2002/0173683	11/21/02	Chen	585	250	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No*
	AL	01/74829	10/11/01	World Patent				
	AM	100 02 975	07/26/02	Germany				X
	AN							
	AO							
	AP							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		Tetrahedron: Asymmetry, 13, (month unavailable) 2002, pages 1379-1384, A. Ferrand, et al, "Hydrophilic diamine ligands for catalytic asymmetric hydrogenation of C=O bonds"
	AS		
	AT		

EXAMINER Initial	DATE CONSIDERED
EXAMINER Initial if referenced considered, whether or not citation is in conformance with MPEP 609: Draw line through if not in conformance and not considered. Include copy of this form with next communication to applicant.	

- Neither English Language Equivalent nor an English Language Translation is available.